

Article

## Role of Stable Isotopes in Life —Testing Isotopic Resonance Hypothesis

Roman A. Zubarev\*

*Division of Physiological Chemistry I, Department of Medical Biochemistry and Biophysics, Karolinska Institute, SE-17 177 Stockholm, Sweden.*

Genomics Proteomics Bioinformatics 2011 Apr; 9(1-2): 15-20 DOI: 10.1016/S1672-0229(11)60003-X

Received: Dec 30, 2010; Accepted: Feb 14, 2011

---

### Abstract

Stable isotopes of most important biological elements, such as C, H, N and O, affect living organisms. In rapidly growing species, deuterium and to a lesser extent other heavy isotopes reduce the growth rate. At least for deuterium it is known that its depletion also negatively impacts the speed of biological processes. As a rule, living organisms “resist” changes in their isotopic environment, preferring natural isotopic abundances. This preference could be due to evolutionary optimization; an additional effect could be due to the presence of the “isotopic resonance”. The isotopic resonance phenomenon has been linked to the choice of earliest amino acids, and thus affected the evolution of genetic code. To test the isotopic resonance hypothesis, literature data were analyzed against quantitative and qualitative predictions of the hypothesis. Four studies provided five independent datasets, each in very good quantitative agreement with the predictions. Thus, the isotopic resonance hypothesis is no longer simply plausible; it can now be deemed likely. Additional testing is needed, however, before full acceptance of this hypothesis.

**Key words:** origin of life, stable isotope, isotopic resonance

---

### Introduction

Because of the presence of several stable isotopes of the elements C, H, N and O, molecular masses of biopolymers (proteins, nucleic acids, sugars and lipids) are not just numbers, but rather complex entities called isotopic distributions (1). Therefore, two molecules with seemingly identical chemical structures often differ in terms of their molecular masses. But does this intrinsic heterogeneity of biomolecules influence biology? In other words, do stable isotopes have any impact on life?

### Biological impact of heavy isotopes

The answer is very clear concerning the heavy isotope of hydrogen, deuterium  $^2\text{D}$ , biological effects of which have emerged soon after its discovery in 1932 by Urey *et al* (2). Already in the early experiments it was found that deuterium content has profound effect on life. From 1934 to the beginning of the Second World War in 1939, a total of 216 publications appeared dealing with biological effects of deuterium (3). Excess of deuterium in water was found to cause reduction in synthesis of proteins and nucleic acids, disturbance in cell division mechanism, changes in enzymatic kinetic rates, and cellular morphological changes (4, 5). The effects of deuterium were found to be only partly reversible, and lethal for higher organ-

---

\*Corresponding author.

E-mail: [Roman.Zubarev@ki.se](mailto:Roman.Zubarev@ki.se)

© 2011 Beijing Institute of Genomics. All rights reserved.

isms in doses above ~20%–30% D<sub>2</sub>O (6, 7). Even relatively small intakes resulting in enrichment of body fluids by less than 0.5% (and the total body mass of slightly in excess of 0.1%) caused clinically relevant side effects (8).

Unlike deuterium, biological effects of other stable isotopes, such as <sup>13</sup>C, <sup>15</sup>N and <sup>18</sup>O discovered about the same time as deuterium, have not been systematically studied until 1960s. While nothing similar to the biological activity of deuterium was observed, some of them reported notable effects, especially in combination with other factors. The Katz group, who have studied multiple heavy-isotope (<sup>13</sup>C, <sup>15</sup>N and <sup>18</sup>O) substitutions in *Chlorella vulgaris* grown in heavy water, found that all additional isotopic substitutions result into abnormal effects in cell size, appearance, growth rate and division (9). The effects were progressively stronger with the isotopic composition deviated from the normal. The authors postulated that “organisms of different isotopic compositions are actually different organisms, to the degree that their isotopic compositions are removed from naturally occurring compositions” (9).

The biological effects are usually observed shortly after the organism is placed in an isotopically different medium (10). Microorganisms and cells experience at first an “isotopic shock” manifested through the growth arrest and morphology changes. After a period of adaptation, growth resumes, but the rate is usually slower than in normal isotopic environment (11), although a higher growth rate has also been observed (12). The changes in the growth rate can be explained by the impact of isotopic substitutions on the kinetics of enzymes (12), pattern of hydrogen bonds and similar relatively subtle but cumulatively potentially important effects.

Yet it is possible to grow microorganisms (e.g., some variants of *Escherichia coli*) in a highly substituted medium, and achieve almost complete deuterium substitution in *E. coli* (13, 14). Even higher organisms, such as mice, have been grown on 80% <sup>13</sup>C (15) and in 90% <sup>18</sup>O environment (16), in some cases for several generations.

### Resistance to isotope incorporation

There is ample evidence that stable isotopes do affect

life. One manifestation is the “resistance” of organisms to changes in the isotopic composition of their components. For example, equilibrium concentration of deuterium in urine and serum of mice drinking 50% D<sub>2</sub>O is ~32%, and it is achieved only after eight days (17). Mice grown on 80% <sup>13</sup>C food incorporated only 60% of <sup>13</sup>C on average, with even most metabolically active organs, such as liver, showing stark deficit of <sup>13</sup>C compared to food, their only source of carbon intake (15). Even for small (few percent) isotopic variations in the growth medium compared to normal abundances, isotopic composition of microorganisms shows deficit of heavy isotopes (17). Because of this “isotopic resistance”, many organisms and cells require several generations of growth in isotopically modified medium to achieve the desired degree of isotope enrichment.

Even in natural environment, isotopic compositions slightly vary (within a few percent or even per mille) depending upon geographical location, source of food and even season. “Isotopic ecology” is an area of research that deduces the details of the life cycle of various biological organisms in their natural environment by studying isotopic composition of their tissues (18). It is a common knowledge in that area that there is a “tissue to diet discrimination”, i.e., the difference between the isotopic composition of food and that of tissues (18). In a stunning control experiment, rats grown on four isotopically different but dietary equivalent diets exhibited little to no variation in isotopic composition of their liver, the most metabolically active organ (19). This and similar results point strongly towards the existence of a preferred isotopic composition of the environment for a given organism, at which the organism achieves maximum growth rate.

### Preferred isotopic environment

This preferred composition must be close to the average composition in which the organism has evolved, because evolution pressure acts to optimize the biochemical processes as to maximize the growth rate [more specifically, exergy rate (20)] at prevailing conditions. For instance, the temperature of maximum growth of *E. coli* is 37°C, very close to the temperature of its natural environment (21). Since it can be

expected that the terrestrial organisms will thrive best in the natural isotopic environment, any deviations from natural isotopic compositions (*i.e.*, both enrichment and depletion of heavy isotopes) should have negative impact on the growth rate. Although experimental data are absent for many elements, the example of deuterium supports the above postulate: both enrichment (22) and depletion (23) of deuterium in water have negative impact on growth of cell culture and can induce apoptosis.

## Isotopic Resonance Hypothesis

There is also another reason, more hypothetical, to expect that deviation in isotopic compositions will have negative effect on organism growth. Recently, Zubarev *et al* discovered the existence of an “isotopic resonance” close to (but somewhat off) the standard natural isotopic abundances of C, H, N and O (24). At the isotopic abundances equal to resonance values, the class of molecules containing C, H, N and O and obeying the rule  $H=2C-N$  (to which many amino acids and peptides belong) has a greatly reduced complexity, and their rate reactions are expected to be enhanced (24). The isotopic resonance phenomenon may have had an impact on the choice of earliest amino acids (24), and thus affected the evolution of genetic code.

Thus there are two independent reasons to expect the existence of preferred isotopic composition of environment at which terrestrial organisms thrive best—a general one, related to the evolutionary optimization of the organism to grow in a given isotopic environment, and a specific one, related to the existence of the “isotopic resonance” for a wide class of biomolecules. While the plausibility of the first reason is beyond doubt, the second reason is hypothetical and requires thorough testing. The test for the second mechanism of isotopic sensitivity of living organisms can be based on its prediction that the isotopic compositions of the living cells will strive towards the “resonance” isotopic composition which, as mentioned above, deviates somewhat from the standard natural isotopic abundances. This deviation is element-specific: in order to achieve resonance conditions, the abundance of  $^2D$  must be increased by 64%, or that of  $^{18}O$  increased by 1% (24). Alternatively, the

isotopic abundance of  $^{13}C$  must be decreased by 22%, or that of  $^{15}N$  decreased by 2% (24).

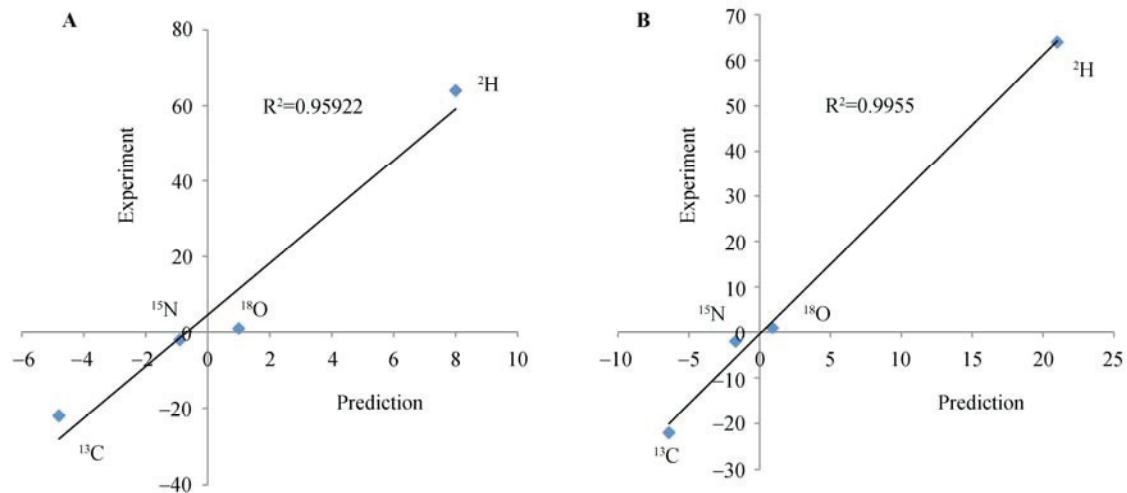
## Testing the hypothesis

Since different elements have different “distances” to the resonance, not only in terms of their values but also in terms of their signs (H and O vs C and N), these distances can provide a testable hypothesis following the resonance mechanism. The line of reasoning can go as follows. Since all reactions are reversible at the molecular level (the principle of microscopic reversibility), the growth rate should affect the isotopic compositions of the growing organism as much as enrichment or depletion of stable isotopes affects the rate of organism growth. The fact that natural isotopic compositions are most distant to the resonance for hydrogen and closest for oxygen means that, in strive to the isotopic resonance, growing cells may need to change the hydrogen isotopic composition significantly more than for other elements, especially oxygen.

In quantitative terms, the degrees of changes in isotopic composition induced by fast growth should be proportional to the values  $-22\%$ ,  $+64\%$ ,  $-2\%$  and  $+1\%$  for C, H, N and O, respectively. These numbers represent the specific prediction of the “isotopic resonance” hypothesis. Because evolutionary optimization mechanism makes no specific quantitative prediction, testing these numbers experimentally amounts to testing the validity of the “isotopic resonance” hypothesis.

## Slow vs fast growth

A recent study by Harvard researchers provides a dataset for such suitable testing (25). They have analyzed isotopic abundances of C, H, N and O in pigs grown in the same conditions and on the same diet but for some reasons exhibiting vastly different growth rates. The study has found significant differences for all four elements. The deviations in the isotopic compositions for C, H, N and O for fast-growing pigs compared to their initial state correlate with the predictions surprisingly well both in terms of signs of the deviations (positive for H and O and negative for C and N) and of absolute values ( $R^2 > 0.955$ ,  $P < 0.01$ ) (Figure 1A). For slow-growing pigs, where the



**Figure 1** Correlation between the changes (in ‰) in the isotopic compositions of collagen in growing pigs (25) and the predictions of the isotopic resonance hypothesis (in arbitrary units) (24). **A.** Fast-growing pigs. **B.** Fast- and slow-growing pigs.

isotopic deviations from the initial state are smaller and thus have larger experimental errors, the correlation with the predictions is poorer but still decent ( $R^2 > 0.925$ ). The largest (and thus most reliable) deviations are the differences between the fast- and the slow-growing pigs. For these differences, the correlation with the predictions is the best ( $R^2 > 0.995$ ,  $P < 0.001$ ) (**Figure 1B**).

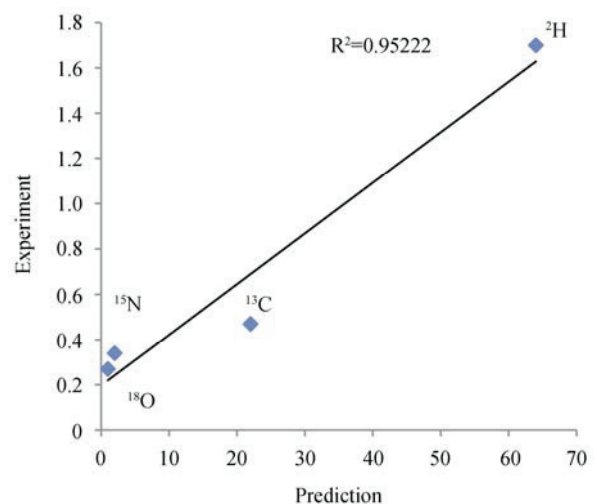
#### Hair and nail studies

The above dataset provides an important piece of evidence in favor of the isotopic resonance hypotheses; however, much more testing is required to fully support its validity. Unfortunately, there is a lack of relevant studies where the only varied parameter was the growth rate, and where isotopic compositions of all four elements were measured. There are however several studies where isotopic compositions in human hair and nails were measured. To utilize the published datasets, the predictions of the isotopic resonance hypothesis must be modified. The new prediction is that the *variations* in the isotopic compositions within large population groups should scale as the *absolute* values of the deficit, *i.e.*, 22%, 64%, 2% and 1% for C, H, N and O, respectively.

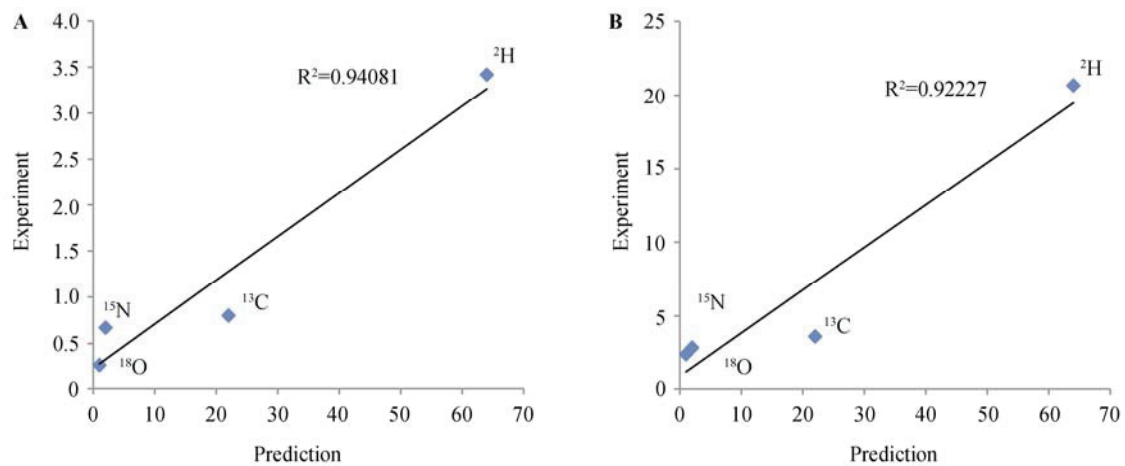
In the work of Fraser *et al*, standard deviations in isotopic compositions of hair and nail of 70 individuals have been reported (26). These deviations correlated well with the above prediction ( $R^2 > 0.95$ ), with

the order of the elements (O, N, C and H) reproduced correctly (**Figure 2**).

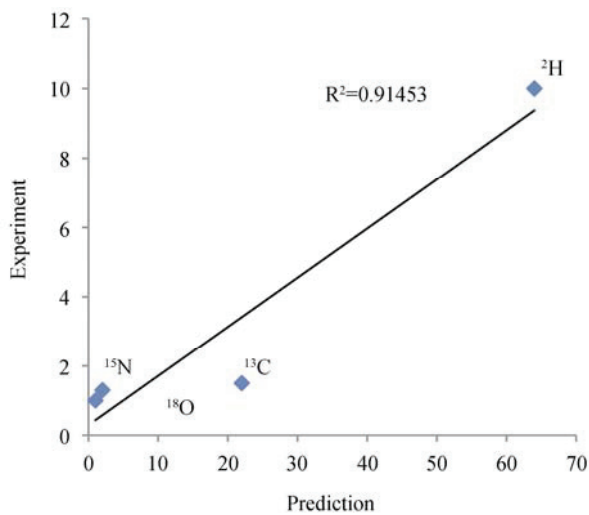
In another study, Bowen *et al* have studied compositions in human hair of hundreds of individuals from 17 groups of indigenous populations of all populated continents (27). The published dataset provides two independent tests: one for group-to-group variations, and one for inter-individual variations within the group. Both comparisons are shown in **Figure 3**, and both correctly reproduce the order of the elements and provide good quantitative correlations ( $R^2 > 0.94$  and  $> 0.92$ , respectively).



**Figure 2** Correlation between the standard deviations (in ‰) in isotopic compositions of C, H, N and O in human hair and nail of 70 individuals (26) and the predictions of the isotopic resonance hypothesis (in arbitrary units) (24).



**Figure 3** Correlation between the standard deviations (in %) in isotopic compositions of C, H, N and O in human hair of hundreds of individuals from 17 groups (nations) of indigenous populations of all populated continents (27) and the predictions of the isotopic resonance hypothesis (in arbitrary units) (24). **A.** Nation-to-nation variations. **B.** Person-to-person variations within the nation.



**Figure 4** Correlation between the standard deviations (in %) in isotopic compositions of C, H, N and O in Chinese population (112 individual measurements for H and O, and 74 measurements for C and N) (28) and the predictions of the isotopic resonance hypothesis (in arbitrary units) (24).

Thompson *et al* have studied human hair in Asian population (28). The largest dataset was from China (112 individual measurements for H and O, and 74 measurements for C and N). In line with previous observations, this dataset gives the correct order of elements and good quantitative correlation ( $R^2>0.91$ ) with the hypothesis predictions (**Figure 4**).

Note the striking similarity of the relative positions of the datapoints in Figures 2–4, despite the fact that the vertical scales differ by more than one order of

magnitude. The typical experimental errors,  $\pm 0.1\%$ – $0.2\%$ , are smaller than typical differences between the datapoints.

### Antagonistic effects

Another prediction that could be made is that the biological effects of  $^2\text{H}$  and  $^{13}\text{C}$  substitution should be antagonistic, as the signs of the deficits of H and C (+64% and  $-24\%$ ) are opposite. Flaumenthaft *et al* studied the growth of *C. vulgaris* in media substituted with  $^2\text{H}$  and/or  $^{13}\text{C}$  (29). The most pronounced difference seen between the cultures was in the thickness of the cell walls. Walls of  $^{13}\text{C}$ -grown cells were much thicker than those of the other cells, while the  $^2\text{H}$ – $^{13}\text{C}$  cell walls were even thicker than those of  $^{13}\text{C}$  cells. But overall, the authors note that “the most remarkable feature of these results is the fact that introduction of  $^{13}\text{C}$  into a cell tends to diminish some of the consequences arising from the introduction of  $^2\text{H}$ ” (29). In particular, cell size distribution for the  $^2\text{H}$ ,  $^{13}\text{C}$ -medium was much closer to that of the normal medium than to  $^2\text{H}$ -medium. The authors were unable to explain the observed antagonism between heavy isotope effects. They found it surprising, because both  $^2\text{H}$  and  $^{13}\text{C}$  substitutions should result in slower reactions. The authors conclude that “the antagonism between  $^2\text{H}$  and  $^{13}\text{C}$  may be fortuitous, or may reflect a general principle. Further studies will be required to clarify this point” (29).

## Conclusion

Taken together, the above observations from independent studies provide the first test of the isotopic resonance hypothesis. Since all so far generated predictions were confirmed, the status of the hypothesis can be elevated from “plausible” to “likely”. Given the fundamental nature of the isotopic resonance hypothesis and its potential implications, *e.g.*, for the theory of the terrestrial life’s origin, additional experimental testing is required before its full acceptance.

## Acknowledgements

This work was supported by the Swedish Research Council (Grant 2007-4410).

## References

- 1 Yergey, J., *et al.* 1983. Isotopic distributions in mass spectra of large molecules. *Anal. Chem.* 55: 353-356.
- 2 Urey, H.C., *et al.* 1932. A hydrogen isotope of mass 2. *Phys. Rev.* 39: 164-165.
- 3 Koletzko, B., *et al.* 1997. Safety of stable isotope use. *Eur. J. Pediatr.* 156: S12-17.
- 4 Katz, J.J., *et al.* 1957. Some observations on biological effects of deuterium, with special reference to effects on neoplastic processes. *J. Natl. Cancer Inst.* 18: 641-659.
- 5 Katz, J.J. and Crespi, H.L. 1971. Isotope effects in biological systems. In *Isotope Effects in Chemical Reactions* (eds. Collins, C.J. and Bowman, N.S.), pp. 286-363. Van Nostrand Reinhold, New York, USA.
- 6 Czajka, D.M., *et al.* 1961. Physiological effects of deuterium on dogs. *Am. J. Physiol.* 201: 357-362.
- 7 Katz, J.J., *et al.* 1962. Course of deuteration and some physiological effects of deuterium in mice. *Am. J. Physiol.* 203: 907-913.
- 8 Jones, P.J., *et al.* 1988. Plasma cholesterol synthesis using deuterated water in humans: effects of short-term food restriction. *J. Lab. Clin. Med.* 111: 627-633.
- 9 Uphaus, R.A., *et al.* 1967. A living organism of unusual isotopic composition. Sequential and cumulative replacement of stable isotopes in *Chlorella vulgaris*. *Biochim. Biophys. Acta* 141: 625-632.
- 10 Katz, J.J. and Crespi, H.L. 1966. Deuterated organisms: cultivation and uses. *Science* 151: 1187-1194.
- 11 Borek, E. and Rittenberg, D. 1960. Anomalous growth of microorganisms produced by changes in isotopes in their environment. *Proc. Natl. Acad. Sci. USA* 46: 777-782.
- 12 Fowler, E.B., *et al.* 1972. Kinetic studies of *C. pyrenoidosa* using 94% <sup>13</sup>C CO<sub>2</sub>. *Biotechnol. Bioengineer.* 14: 819-829.
- 13 Rokop, S., *et al.* 1969. Purification and characterization of fully deuterated enzymes. *Biochim. Biophys. Acta* 191: 707-715.
- 14 Palyi, O., *et al.* 2003. Improved methods of cultivation and production of deuterated proteins from *E. coli* strains grown on fully deuterated minimal medium. *J. Appl. Microbiol.* 94: 580-586.
- 15 Gregg, C.T., *et al.* 1973. Substantial replacement of mammalian body carbon with carbon-13. *Life Sci.* 13: 775-782.
- 16 Klein, P.D. and Klein, E.R. 1986. Stable isotopes: origins and safety. *J. Clin. Pharmacol.* 26: 378-382.
- 17 Kreuzer-Martin, H.W. and Jarman, K.H. 2007. Stable isotope ratios and forensic analysis of microorganisms. *Appl. Envir. Microbiol.* 73: 3896-3908.
- 18 del Rio, C.M., *et al.* 2009. Isotopic ecology: ten years after a call for more laboratory experiments. *Biol. Rev. Camb. Philos. Soc.* 84: 91-111.
- 19 Caut, S., *et al.* 2008. Caution on isotopic model use for analyses of consumer diet. *Canadian J. Zool.* 86: 438-445.
- 20 Jørgensen, S.E. and Fath, B.D. 2004. Application of thermodynamic principles in ecology. *Ecol. Complex.* 1: 267-280.
- 21 Kovarova, K., *et al.* 1996. Temperature-dependent growth kinetics of *Escherichia coli* ML 30 in glucose-limited continuous culture. *J. Bacteriol.* 178: 4530-4539.
- 22 Hartmann, J., *et al.* 2005. Effects of heavy water (D<sub>2</sub>O) on human pancreatic tumor cells. *Anticancer Res.* 25: 3407-3411.
- 23 Somlyai, G., *et al.* 1993. Naturally occurring deuterium is essential for the normal growth rate of cells. *FEBS Lett.* 317: 1-4.
- 24 Zubarev, R.A., *et al.* 2010. Early life relict feature in peptide mass distribution. *Cent. Eur. J. Biol.* 5: 190-196.
- 25 Warinner, C. and Tuross, N. 2010. Tissue isotopic enrichment associated with growth depression in a pig: implications for archaeology and ecology. *Am. J. Phys. Anthropology* 141: 486-493.
- 26 Fraser, I., *et al.* 2006. The role of stable isotopes in human identification: a longitudinal study into the variability of isotopic signals in human hair and nails. *Rapid Commun. Mass Spectrom.* 20: 1109-1116.
- 27 Bowen, G.J., *et al.* 2009. Dietary and physiological controls on the hydrogen and oxygen isotope ratios of hair from mid-20th century indigenous populations. *Am. J. Phys. Anthropol.* 139: 494-504.
- 28 Thompson, A.H., *et al.* 2010. Stable isotope analysis of modern human hair collected from Asia (China, India, Mongolia, and Pakistan). *Am. J. Phys. Anthropol.* 141: 440-451.
- 29 Flaumenhaft, E., *et al.* 1970. Isotope biology of <sup>13</sup>C extensive incorporation of highly enriched <sup>13</sup>C in the alga *Chlorella vulgaris*. *Biochim. Biophys. Acta* 215: 421-429.